

Tasmanian Thoroughbred Licence Application Requirements 2018/2019

The Director of Racing may grant any licence for such period and upon such conditions as deemed fit.

Any licence may be suspended, cancelled or refused by the Director.

Applicants MUST note:

- Standard processing timeframe is **7 – 10 working days** for correctly submitted applications, unless the applicant is required to be interviewed by the Director of Racing.
- Lodging an application form and paying the applicable fee **does not** mean that applicants are licensed from that time.
- Applications must be approved by the Director and processed by the Office of Racing Integrity (ORI) before a licence becomes active. ORI will notify applicants in writing following approval of their application.

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General information applicable to All licence categories

The below listed Tasracing Licensing Requirements MUST be read carefully prior to completing an application for a licence.

1. **Rules of Racing** - Applicants for each category of licence should obtain a copy of the Rules of Racing.

2. **National Police Record Check**

For persons over the age of eighteen (18) years a current National Police Record Check must accompany an application for licence if –

- a. Applying for any category of licence if not previously provided within two (2) years of the date of the application.
- b. Returning from a period of disqualification, if not previously provided within two (2) years of the date of the application.
- c. When the Director has reasonable cause to require any person to submit a criminal record check. Reasons could include provision of further details of information included in a Statutory Declaration; outcome of previously pending criminal charges.

NB: For the purpose of item 2, if a Police Record Check has not previously been provided within two (2) years of the date of the application, currency shall be defined as having a date of issue no more than 3 months previous to the application being lodged.

3. **Visiting Interstate Trainer Licence Information** – Please refer to AR 80 and information for requirements regarding this licence is available at http://dpipwe.tas.gov.au/Documents/Thoroughbred_Visiting_Trainers_Information.pdf.

4. **Mandatory Training Requirements**

To obtain and/or maintain a licence of any category, participants must comply with any training requirements as mandated by Tasracing from time to time. For information on current training requirements for any licence category, please contact Tasracing on (03) 6212 9333.

5. **Interstate Stable Employee & Trackwork Rider Licence Holders** - Please refer to Local Rules 19.1(c) & 19.2(f) for requirements regarding these licences.

6. **International Applicants**

Applicants who are not the holders of Australian citizenship must provide the following with their application in addition to the requirements of the particular licence:

- Copy of passport.
- Copy of Visa which indicates permission to work in Australia.
- Clearance from the International Controlling Body where last licensed, which shows
 - the licence/s held;
 - offence history;
 - If applying for riding licence, performance record including number of winning rides.
- Full medical examination report showing appropriate fitness to ride, issued by an Australian Registered Medical Practitioner. (if necessary for particular licence)
- A Baseline Concussion Test (if necessary for particular licence) may be required if deemed appropriate by the Director of Racing at the time of applicant's arrival in Tasmania.

7. **Suitability of Licence Holders** - An applicant must be assessed as a **Fit and Proper Person** to be granted a licence.

All licence holders and applicants for licences must meet and continue to meet the requirements to be “fit and proper” to hold a licence in Tasmania. The following criteria for a “fit and proper” person will be applied.

“Fit”

To be considered “fit” a person must:

- Be physically fit to perform the duties of a particular license category;
- Have the stated skills and knowledge required for a licence;
- Be able to display the appropriate level of mental fitness to make correct decisions in relation to behaviour by demonstrating a continuing moral commitment to good behaviour and good character.

The Director may require an applicant to provide appropriate evidence of their fitness, skills and knowledge through testing, training and assessment or other means.

“Proper”

The requirements to be considered “proper” relate to the general level of integrity of the person. It is primarily concerned with general behaviour and conduct inclusive of:

- History;
- Reputation;
- Integrity;
- Honesty;
- Character;
- Regard to laws and community values

Propriety will be assessed on the basis of general behaviour and conduct by an applicant, or an executive officer of an applicant, in particular any evidence of:

- An applicant’s previous racing disciplinary history;
- Any previous acts of dishonesty by the applicant or an executive officer of the applicant;
- Improper behaviour towards an official or employee of the Office of Racing Integrity or Tasracing and other licensed participants or animals;
- Any conduct or statement made by the applicant, or an executive officer of the applicant, that would impact on the applicant’s reputation and more broadly on the reputation of other licence holders, the Director, an official or employee of the Office of Racing Integrity or Tasracing or the Tasmanian racing industry as a whole;
- An ability of the applicant, and any executive officers of the applicant, to consistently operate within the requirements of the racing legislation, a standard, policies of the Office of Racing Integrity or Tasracing, the Rules of Racing and any other laws and regulations in the State of Tasmania, another State or the Commonwealth, including any gambling and gaming legislation;
- Bad behaviour and/or misconduct by the applicant, or an executive officer of the applicant, including police records, court records and letters of complaint regarding the licence holder;
- A failure to adequately demonstrate sufficient and acceptable financial means to fulfil the requirements of the licence;
- Where a licence holder or applicant for a licence has been convicted of or pleaded guilty to an offence in Tasmania, any state or territory of Australia or in any other country.

Exclusion due to certain convictions

The Director may deem that a person is not a “fit” and “proper” person and thus not grant a licence if:

- The applicant has been convicted of an offence (the conviction), and the conviction remains on the licence holder’s criminal record, against a law in Tasmania or another State or Country which relates to;
 - Dishonesty, fraud, forgery, match-fixing;
 - Animal welfare or cruelty to animals;
 - Trafficking or supply of drugs, illicit or illegal substances; and

The Director may at any time, including on application, request that a licence holder or applicant provide a current (dated within the last 3 months) National Police Certificate. Where a corporation is the applicant, all executive officers of the corporation may be required to submit such certificates.

All convictions, whether against a law in Tasmania or another State, stated in a national police certificate, will be considered relevant to the application for a licence. An offence committed over 10 years ago may also be considered relevant to the broader assessment of whether an applicant is “fit” and “proper” to be granted a licence.

Where a conviction is recorded, the Director may request an interview with the applicant to discuss the conviction.

8. Personal Information Protection Statement

By applying for a Licence, you are providing personal information to the Office of Racing Integrity (ORI), which will manage that information in accordance with the *Personal Information Protection Act 2004*. The personal information collected here will be used by ORI for the purpose of processing your application for a licence and/or registration and associated purposes, pursuant to the *Racing Regulation Act 2004*, associated legislation and the Rules of Racing as adopted by Tasracing from time to time. Failure to provide this information may result in your application not being processed or records not being properly maintained. ORI may also use the information for related purposes, or disclose it to third parties in circumstances allowed for by law. You have the right to access your personal information by request to ORI and you may be charged a fee for this service.

Licensing Procedures

The Director of Racing considers all licence applications and may be assisted in that process by a Stipendiary Steward (preferably the Chairman of Stewards) and a Licensing & Registration representative. The Director reserves the right to interview, in person, any applicant applying for either an initial licence or renewal.

The Director also reserves the right to interview-

- Any licensed person at any time who has been convicted in a court of law for a misdemeanour that, in the opinion of the Director, may prejudice the image of racing; or
- A person who has been charged and found guilty by the Stewards of any offence that again, in the opinion of the Director, may be detrimental to the image of racing; or
- Any licensed person, upon the recommendation of Stewards or Licensing and Registration Officer.
- **All persons** applying for a licence following the completion of a period of **disqualification** must appear, in person, for an interview before the Director.

New Applicant

- Person who is applying for a licence of any category in Tasmania for the first time; or
- Person who has been licensed previously in the Thoroughbred code but not in the preceding season.

Renewal

- Person who was licensed with the same category of licence in the preceding racing season.

Licensing Guidelines

The following guidelines should be viewed as a general rule of thumb with each applicant being assessed on their individual merits with the Director reserving the right to have any person appear for interview.

Trainers:	First time applicants or persons applying for upgrades are to be interviewed by the Director.
Jockeys:	First time applicants to be interviewed by the Director.
Apprentices:	Initial applications will be determined by the Apprentice Jockey Panel consisting of representatives of Tasracing and the Office of Racing Integrity . Indentured Apprentices are required to submit a renewal application and medical to ORI each licensing season.
Trials Rider:	To be approved by the Director of Racing via a Chairman's Book.
Stable Foreman:	Persons applying for an initial upgrade to be interviewed by the Director.
Riders Agent:	Initial applications to be interviewed by the Director.
Stable Employees (Non-Riding) & (Trackwork Riding):	To be approved by the Director of Racing via a Chairman's Book, including Transfers of Employment or Cancellation of Registration.
Farrier:	To be approved by the Director of Racing via a Chairman's Book.
Restricted Plating:	To be approved by the Director of Racing via a Chairman's Book.
Renewals:	All categories to be approved by the Director of Racing via a Chairman's Book.
Interstate Applicants:	Each interstate applicant will be assessed on their merits given the licence held and experience obtained through the appropriate jurisdiction where the individual has been licensed.

Licensing Review Guidelines

All licences are subject to review, at least on an annual basis, subject to the following guidelines:

- (a) Participants who continually are found guilty of breaches of the Rules of Racing.
- (b) Trainers whose horses are not presented in a manner in which public confidence can be guaranteed.
- (c) Anyone whose actions are detrimental to the image of the industry and public perception.
- (d) Participants who have a level of activity which is not commensurate with their licence category.

The above guidelines refer to all licences, whether they are a new applicant, an upgrade or a renewal.

Fee Schedule –2018 - 19

Licensing				
Category	Fees Breakdown			
	Total Fee (\$)	Licence Component	SMS Service (Optional)	Public Liability (ATA Policy)
Stable Employee (Non-Riding or Trackwork Rider) (Renewal)	56.00	-	-	-
Stable Employee (Non-Riding or Trackwork Rider) (New)	No Fee (Tasracing subsidised)			
Stable Foreman (Non-Riding or Trackwork Rider)	77.00	-	-	-
Jockey <i>NB: If Jockey is licensed in home state for 2017/18 season, the licence fee is not applicable</i>	152.00	137.00	15.00	-
Approved Trials Rider	101.00	101.00	15.00	-
Riders' Agent	123.00	123.00	-	-
Pre-Trainer	495.00	137.00	15.00	343.00
Owner Trainer	629.00	137.00	15.00	477.00
King Island Trainer	495.00	137.00	15.00	343.00
Trainer Open	753.00	137.00	15.00	601.00
Trainer A	804.00	137.00	15.00	652.00
Farrier	56.00	-	-	-
Restricted Plating	56.00	-	-	-
Syndicate				Total Fee (\$)
	Initial Registration			320.00
	Annual Renewal			72.00
	Add or Delete Member			15.00
	Add Horse			40.00
Amendment to Syndicate			15.00	
Replacement Licence Card	25.00			

Licence Categories

STABLE EMPLOYEES

Stable Employee (Non-Riding)

Fee: See Fee Schedule

The holder of a *Stable Employee (Non-Riding) licence* may, under the supervision or instructions of a licensed trainer, assist with their training, management, care and control of horses and assist with pre-race preparation of, and post-race procedures affecting a horse. Stable Employees are **not permitted** to mount any registered racehorse either on the trainer's property or any registered racecourse unless also registered for trackwork riding duties.

A *Stable Employee* licence is issued at the discretion of the Director of Racing to a person who has:

- Attained the age of fourteen (14) years. (Parental consent required for applicants under the age of 18 years.)
- Lodged a completed application form with the prescribed fee.
- For persons over the age of eighteen (18) years, submitted a current National Police Record Check.
- Lodged a completed Stable Employee Induction Assessment form.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only if not previously provided to ORI)
- Successfully completed the Australian Drug Foundation "Aware Program".
- For new applicants, completed the mandatory training and submitted a Statement of Attainment within six (6) months of being licensed.
- For a licence renewal, submitted a Statement of Attainment for mandatory training if not previously provided.

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Stable Employee (Trackwork Rider)

Fee: See Fee Schedule

The holder of a *Stable Employee (Trackwork Rider) Licence* may under the supervision or instructions of a licensed trainer, assist with their training, management, care and control of horses and assist with pre-race preparation of, and post-race procedures affecting a horse. The holder of this licence may also perform trackwork duties for any licensed trainer.

A *Stable Employee (Trackwork Rider) licence* is issued at the discretion of the Director of Racing to a person who has:

- Attained the age of fourteen (14) years. (Parental consent req. for applicants under the age of 18 years.)
- Lodged a completed application form with the prescribed fee.
- For persons over the age of eighteen (18) years, submitted a current National Police Record Check.
- Lodged a completed Stable Employee Induction Assessment form.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only if not previously provided to ORI)
- Successfully completed the Australian Drug Foundation "Aware Program".
- For new applicants, completed the mandatory training and submitted a Statement of Attainment within six (6) months of being licensed.
- For a licence renewal, submitted a Statement of Attainment for the mandatory training if not previously provided.
- Submitted a full medical examination report showing appropriate fitness to ride. The Director of Racing reserves the right to request a medical report from an applicant at any time if considered necessary.
- Undertaken a riding ability assessment to satisfaction of Stewards. Wherever practical, this assessment should be conducted by a full time ORI Steward.

The holder of a *Stable Foreman licence* may, under the supervision or instructions of a licensed trainer, assist with their training, management, care and control of horses and assist with pre-race preparation of, and post-race procedures affecting a horse. Furthermore a Stable Foreman may fulfil the duties for and on behalf of a trainer during that trainer's absence. A Stable Foreman is **not permitted** to mount any registered racehorse either on the trainer's property or any registered racecourse unless also registered for trackwork riding duties.

A Stable Foreman must have held –

- A stable employee's licence for a minimum of one (1) year with an Open Permit or Trainer A in the period directly preceding the application, or
- A Jockey licence, or
- A trainer's licence.

A Stable Foreman licence is issued at the discretion of the Director of Racing to a person who has:

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- Submitted a current National Police Record Check. (New applicants only)
- Submitted a written reference from previous employing trainer.
- Submitted a written statement from the employing trainer outlining the reasons for employing/requiring a Stable Foreman and/or the trainer attend an interview with the Director of Racing.
- Successfully completed the Australian Drug Foundation "Aware Program"
- For new applicants, completed the mandatory training and submitted a Statement of Attainment within six (6) months of being licensed.
- For a licence renewal, submitted a Statement of Attainment for the mandatory training if not previously provided.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner. (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

NB: The employing Trainer must satisfy the need to employ a Stable Foreman. *(While each application will be considered on its merits, as a general rule of thumb the employing trainer should have at least 8 horses in work)*

JOCKEYS/TRIALS RIDERS

Apprentice Jockey

The initial application to have a person indentured as an Apprentice Jockey must be submitted to Tasracing for consideration by the Apprentice Jockey Panel which includes representatives from Tasracing and ORI.

Once approved by the Apprentice Jockey Panel, applicants must ensure that the following has been provided to or undertaken with ORI: –

- Full medical examination report showing appropriate fitness to ride, if not previously submitted to ORI for the current racing season. The Director of Racing reserves the right to request a medical report from an applicant at any time if considered necessary.
- Previous riding history of applicant, if applicable.
- For persons over the age of eighteen (18) years, submitted a current National Police Record Check if required. (See General Information)
- Clearance from Principal Racing Authority where last licensed, if applicable.
- Next of Kin and Emergency Medical Information on the prescribed form.
- Provided GST and EFT details
- Been assessed by an independent medical practitioner as determined by Tasracing if the person has previously sustained a career ending injury or a major injury. (not applicable for licence renewal)
- Completed a satisfactory annual Baseline Concussion Test.
- To be indentured must have ridden trackwork for the applying trainer for a minimum of six (6) months unless special circumstances can be demonstrated to the satisfaction of the Director and submitted a Statement of Attainment for the following units:
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- To commence riding in official trials must have completed at least twenty (20) satisfactory jump-outs over a three month period and submitted a Statement of Attainment for the following units:
 - RGRPSH306A Develop Basic Track work Riding Skills
 - RGRPSH307A Exercise Horses in Pacework
 - RGRPSH404A Ride Horses at Track work
 - RGRPSH405A Ride Horses in Jump Outs
- To obtain a permit to ride in races, must have completed at least twenty (20) satisfactory trial rides as approved by the Apprentice Riding Review Panel over a three month period.
- If the applicant is not an Australian Citizen, information listed on Page 4 for International applicants must also be submitted.

Indentured apprentices must submit the following to the Office of Racing Integrity at the commencement of each racing season:

- Renewal application.
- Full medical examination report showing appropriate fitness to ride.
- Next of Kin details on the prescribed form.
- Emergency Medical Information on the prescribed form.
- Completed a satisfactory annual Baseline Concussion Test.
- A Betting Information Declaration form.
- For a licence renewal, must have ridden in a minimum of 10 races over the preceding 12 month period, unless special circumstances can be demonstrated to the satisfaction of the Director.

Visiting Apprentice Jockey must provide the following to the Office of Racing Integrity prior to riding at any race or trial meeting in Tasmania:

- Visiting apprentice application.
- Next of Kin and Emergency Medical Information on the prescribed form.
- Permission letter from Master to ride in Tasmania including advice as to who will be in charge of the apprentice if the registered Master is not in attendance at the meeting.
- NB: A Visiting Apprentice Jockey will only be granted permission to undertake riding duties in Tasmania for a 48 hour period unless application is made for permission to extend that time to participate in trackwork or trials.
- **For International applicants – A Baseline Concussion Test may be required if deemed appropriate at time of arrival by the Director of Racing.**

Jockey A

Fee: \$152.00

The holder of a *Jockey A* licence may ride at any race meeting, trial, jump out or trackwork held within the State of Tasmania.

A *Jockey A* licence is issued at the discretion of the Director of Racing to a person who has:

- Completed an approved Apprenticeship.
- Lodged Statement of Attainment for Certificate IV in Racing (Jockey) (RGR40208) or other equivalent evidence as approved by Tasracing.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- Submitted a current National Police Record Check if required. (See General Information)
- Submitted a full medical examination report showing appropriate fitness to ride. The Director reserves the right to request a medical report from an applicant at any time if considered necessary.
- Submitted Next of Kin details on the prescribed form.
- Submitted Emergency Medical Information on the prescribed form.
- Been assessed by an independent medical practitioner as determined by Tasracing if the person has previously sustained a career ending injury or a major injury. (not applicable for licence renewal)
- Completed a satisfactory annual Baseline Concussion Test.
- Submitted a Betting Information Declaration form.
- Provided GST and EFT Details.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Panel).
- ***If currently licensed as a Jockey by another Australian jurisdiction*** - only personal details, Betting Declaration, Next of Kin and Emergency Medical Information is required to be submitted on the prescribed form in accordance with the National Jockey Licence Policy.
- ***If currently licensed as a Jockey by an International jurisdiction*** - Personal details, Betting Declaration, Next of Kin and Emergency Medical Information is required to be submitted. A Baseline Concussion Test may be required if deemed appropriate at the time of arrival by the Director of Racing.
- Fulfil any other international applicant requirements. (See Page 4)
- For a licence renewal, must have ridden in a minimum of 10 races over the preceding 12 month period, unless special circumstances can be demonstrated to the satisfaction of the Director.

Victorian Licensed Jumps Jockey A : Persons holding this category of licence with Racing Victoria Limited may apply for a licence in Tasmania and be permitted to ride in trials, jump outs and trackwork and in any races at the discretion of the Director of Racing.

Victorian Licensed Jumps Jockey B: Persons holding this category of licence with Racing Victoria Limited may apply for a licence in Tasmania and be permitted to ride in trials, jump outs or trackwork held within the state of Tasmania.

Trainer & Jockey – Dual Licence

Persons eligible to be licensed as a Jockey and a Trainer may apply for a dual licence subject to the requirements of Tasmanian Local Rule 15.21.

Rider's Agent

Fee: \$123.00

The holder of a *Riders' Agent* licence is permitted to register agreements with no more than three Jockeys inclusive of apprentice jockeys where the agreement must be with the Master, who ride regularly in Tasmania. A *Riders' Agent* shall represent only persons in respect of whom they have obtained specific approval of the Principal Racing Authority in Tasmania.

NB: A *Riders' Agent* shall NOT be a member or employee of Tasracing Pty Ltd, Tasmanian Racing Appeal Board or the Office of Racing Integrity.

A *Riders' Agent* licence is issued at the discretion of the Director of Racing to a person who has:

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- Submitted a *Riders' Agent/Jockeys* agreement completed by the rider/s or apprentice master/s in respect of whom they seek to be licensed.
NB: Applicants must make similar applications for the variation of their licence to include additional clients.
- Submitted a current National Police Record Check.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).

The holder of an *Approved Trials Rider* licence may ride trackwork, jumpouts and official trials within the State of Tasmania.

An Approved Trial Rider must have previously been licensed as –

- a jockey; or
- an apprentice approved to ride in races; or
- a cross country rider; or
- a picnic or amateur rider who has been approved to ride in races.

An Approved Trial Rider licence is issued at the discretion of the Director of Racing to a person who has:

- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- For persons over the age of eighteen (18) years, submitted a current National Police Record Check if required. (See General Information)
- Submitted a full medical examination report showing appropriate fitness to ride (The Director of Racing reserves the right to request a medical report from an applicant at any time if considered necessary).
- Submitted Next of Kin details on the prescribed form.
- Submitted Emergency Medical Information on the prescribed form.
- Been assessed by an independent medical practitioner as determined by Tasracing if the person has previously sustained a career ending injury or a major injury. (not applicable for licence renewal)
- Completed a satisfactory annual Baseline Concussion Test.
- Provided GST and EFT Details.
- Been assessed, interviewed and passed satisfactory to ride in trials by the Office of Racing Integrity Stewards.
- If necessary, had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).
- Completed at least 10 satisfactory jumpouts, if determined necessary by Stewards.
- For new applicants, completed the mandatory training and submitted a Statement of Attainment within six (6) months of being licensed.
- For a licence renewal, submitted a Statement of Attainment for the mandatory training if not previously provided.
- If applicable, fulfil international applicant requirements. (See General Requirements)
- For a licence renewal, must have ridden in a minimum of 10 trials over the preceding 12 month period, unless special circumstances can be demonstrated to the satisfaction of the Director.

TRAINERS

Pre-Trainer	Fee: \$495.00
<p>The holder of a <i>Pre-Trainer</i> licence may break in, prepare and educate a registered thoroughbred horse prior to the horse being sent to a trainer for official training and/or racing. A Pre-Trainer may not trial or nominate any horse for racing.</p>	
<p>Pre-Trainer applicants must be or have been in the period directly preceding the application –</p>	
<ul style="list-style-type: none">• A registered Stablehand for a minimum of one (1) year; or• A registered Stable Foreman for a minimum of one (1) year; or• A licensed Harness Trainer for a minimum of one (1) year; or• Have experience in equine pursuits (in a non-licensed capacity) for a minimum of five (5) years; or• A licenced Jockey A; or• An interstate or overseas licenced thoroughbred Trainer; or• A licenced Tasmanian Trainer.	
<p><i>NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers.</i></p>	
<p>Licences are issued at the discretion of the Director of Racing to a person who has:-</p>	
<ul style="list-style-type: none">• Attained the age of eighteen (18) years.• Lodged a completed application form with the prescribed fee.• Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI)• Made payment of ATA Public Liability Insurance Policy premium or provide Certificate of Currency of other equivalent policy.• Submitted one (1) written reference from a currently licensed trainer.• Submitted a current National Police Record Check if required. (See General Information)• For new applicants, provided evidence of a current Level 1 First Aid certificate attained by the applicant.• Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).• Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.• For new applicants, completed the mandatory training and submitted a Statement of Attainment within six (6) months of being licensed.• For a licence renewal, submitted a Statement of Attainment for the mandatory training if not previously provided.• <u>If intending to perform any trackwork duties:</u><ul style="list-style-type: none">○ Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner. (No additional fee is applicable)○ Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)	
<p>Workers Compensation Insurance</p>	
<ul style="list-style-type: none">• Trainers employing staff or contractors must have an appropriate current workers' compensation insurance policy.• Trainers utilising persons in a voluntary role must have an appropriate insurance policy that covers volunteers.• Workers compensation insurance policies in most cases do not cover the trainer for injury. It is strongly recommended that Trainers discuss with their insurance brokers obtaining the appropriate level of cover to ensure they are suitably covered in the event of an incident.• Trainers and/or trackwork riders must negotiate an employment arrangement to ensure an employer/employee relationship is in place.	

The holder of an *Owner/Trainer* licence may only train registered thoroughbred horses which that person owns or partly owns or which is owned by the person's spouse, other domestic partner, parent, sibling or child, or any horse approved by the Director of Racing upon the written application of the person. Owner/Trainers are not permitted to train for syndicates.

Owner/Trainer applicants must have held in the period directly preceding the application –

- A stable employees licence, a Stable Foreman licence or a Pre-Trainer's licence for at least one year; or
- A Jockey licence; or
- A Harness Trainer's licence for a minimum of one (1) year; or
- Hold, or previously have held (with a PRA), an Owner-Trainer thoroughbred licence (or its equivalent or higher level) for a minimum of two (2) years, and been licensed as such no later than three (3) years from the date that a (full) application is submitted to the Office of Racing Integrity.

Confirmed licensing of an equivalent level with an interstate racing jurisdiction will also be used to evaluate an application.

NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers.

Licences are issued at the discretion of the Director of Racing to a person who has: -

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI).
- Submitted one (1) written reference from a currently licensed trainer.
- Provided evidence of previously held non-thoroughbred licence (if applicable).
- Been granted a clearance from the Principal Club or controlling body where previously licensed, if applicable.
- Submitted a current National Police Record Check if required. (See General Information)
- Made payment of ATA Public Liability Insurance Policy premium or provide Certificate of Currency of other equivalent policy.
- For new applicants, provided evidence of a current Level 1 First Aid certificate attained by the applicant.
- Satisfactorily completed the Trainer's questionnaire (if not previously licensed as a trainer).
- Provided GST and EFT Details.
- For new applicants, completed the mandatory training and submitted evidence of completion within twelve (12) months of being licensed.
- For a licence renewal, submitted evidence of mandatory training as approved by Tasracing, if not previously provided.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).
- Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner. (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

Workers Compensation Insurance

- Trainers employing staff or contractors must have an appropriate current workers' compensation insurance policy.
- Trainers utilising persons in a voluntary role must have an appropriate insurance policy that covers volunteers.
- Workers compensation insurance policies in most cases do not cover the trainer for injury. It is strongly recommended that Trainers discuss with their insurance brokers obtaining the appropriate level of cover to ensure they are suitably covered in the event of an incident.
- Trainers and/or trackwork riders must negotiate an employment arrangement to ensure an employer/employee relationship is in place.

The holder of an *Open Permit* licence may train any registered thoroughbred horse.

Open Permit applicants will be assessed in relation to their competency to train for the public. Must have held in the period directly preceding the application –

- A stable employees licence for at least three consecutive years; or
- A Stable Foreman licence for at least two consecutive years; or
- An Owner/Trainer licence for at least one year; or
- A Jockey licence; or
- A Harness Trainer's licence for a minimum of five (5) years; or
- Hold, or previously have held (with a PRA), an Open Permit thoroughbred trainer licence (or its equivalent or higher level) for a minimum of three (3) years, and been licensed as such no later than three (3) years from the date that a (full) application is submitted to the Office of Racing Integrity.

Confirmed licensing of an equivalent level with an interstate racing jurisdiction can be used to evaluate an application.

NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers.

Licences are issued at the discretion of the Director of Racing to a person who has: -

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI).
- Submitted one (1) written reference from a person currently licensed at Trainer A or Open Permit level.
- Provided three (3) credit references from racing suppliers.
- Provided financial statements for the preceding six (6) month period.
- Been granted a clearance from the Principal Club or controlling body where previously licensed, if applicable.
- Provided evidence of previously held non-thoroughbred licence (if applicable).
- Submitted a current National Police Record Check if required. (See General Information)
- Provided a list of prospective horses and owners.
- Made payment of ATA Public Liability Insurance Policy premium or provided a Certificate of Currency of other equivalent policy.
- For new applicants, provided evidence of a current Level 1 First Aid certificate attained by the applicant.
- Satisfactorily completed the Trainer's questionnaire. (If not previously licensed as a trainer).
- Provided GST and EFT Details.
- For new applicants, completed the mandatory training and submitted evidence of completion within twelve (12) months of being licensed.
- For a licence renewal, submitted evidence of completion of the mandatory training as approved by Tasracing, if not previously provided.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades, interstate or overseas applicants or other persons determined by the Director).
- Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner. (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

Workers Compensation Insurance

- Trainers employing staff or contractors must have an appropriate current workers' compensation insurance policy.
- Trainers utilising persons in a voluntary role must have an appropriate insurance policy that covers volunteers.
- Workers compensation insurance policies in most cases do not cover the trainer for injury. It is strongly recommended that Trainers discuss with their insurance brokers obtaining the appropriate level of cover to ensure they are suitably covered in the event of an incident.
- Trainers and/or trackwork riders must negotiate an employment arrangement to ensure an employer/employee relationship is in place.

The holder of a *Trainer A* licence may train any registered thoroughbred horse.

Trainer A applicants must have held

- An Open Permit licence (or the equivalent interstate racing jurisdiction level) for at least two consecutive years directly preceding the application; or
- Hold, or previously have held (with a PRA), an “A” level thoroughbred trainer licence (or its equivalent) for a minimum of five (5) years, and been licensed as such no later than three (3) years from the date that a (full) application is submitted to the Office of Racing Integrity.

Confirmed licensing of an equivalent level with an interstate racing jurisdiction can be used to evaluate an application

NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers.

Licences are issued at the discretion of the Director of Racing to a person who has: -

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI)
- Submitted one (1) written reference from a person currently licensed at Trainer A level.
- Provided three (3) credit references from racing suppliers.
- Provided financial statements for the preceding six (6) month period
- Been granted a clearance from the Principal Club or controlling body where previously licensed, if applicable.
- Submitted a current National Police Record Check if required. (See General Information)
- Made payment of ATA Public Liability Insurance Policy premium or provided a Certificate of Currency of other equivalent policy.
- Provided GST and EFT Details.
- For new applicants, completed the mandatory training and submitted evidence of completion within twelve (12) months of being licensed.
- For a licence renewal, submitted evidence of completion of the mandatory training as approved by Tasracing, if not previously provided.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades, interstate or overseas applicants or other persons determined by the Director).
- Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner. (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

Workers Compensation Insurance

- Trainers employing staff or contractors must have an appropriate current workers’ compensation insurance policy.
- Trainers utilising persons in a voluntary role must have an appropriate insurance policy that covers volunteers.
- Workers compensation insurance policies in most cases do not cover the trainer for injury. It is strongly recommended that Trainers discuss with their insurance brokers obtaining the appropriate level of cover to ensure they are suitably covered in the event of an incident.
- Trainers and/or trackwork riders must negotiate an employment arrangement to ensure an employer/employee relationship is in place.

Training Partnerships

Permission to train in partnership may be issued at the discretion of the Director of Racing to -

- (a) Two (2) trainers who have been granted a trainer's licence under **LR 15** provided that one of the trainers is licensed as Trainer A.
 - (b) Three (3) trainers who are immediate family members and who have each been granted a trainer's licence under **LR 15** provided that one of the trainers is licensed as Trainer A.
 - (c) Trainers who have permission to train in partnership:
 - Must not train any horses as individuals or in another training partnership;
 - Share all responsibilities, duties and obligations under the Rules in regard to the training of racehorses.
 - (d) An application for permission to train in a partnership shall:
 - Be in such form;
 - Provide such information; and
 - Be accompanied by such fee as is prescribed by the Director.
 - (e) The Director may:
 - Without being required to give any reasons refuse permission to train in partnership; or
 - Grant permission subject to such terms and conditions as the Director thinks fit, including but not limited to restrictions regarding duration and locality or both.
 - (f) The Director may at any time in his absolute discretion:
 - Revoke permission to train in partnership, including without limitation where any of the applicants cease to meet the requirements to be granted permission in accordance with the Policy, or where any of the training partners have been suspended or disqualified; or
 - Vary or amend any of the terms or conditions of any permission to train in partnership.
 - (g) It is a precondition of the grant of permission to train in partnership that:
 - Applicants do not hold a licence or permit to train in another racing jurisdiction, other than a licence or permit to train in partnership with each other;
 - The Stewards are satisfied as to the bona fides of the training partnership;
 - A minimum of 8 horses shall be trained in the partnership.
 - (h) The Director may in his absolute discretion relieve applicants for permission to train in partnership from the preconditions set out in this policy.
 - (i) Where there has been a breach of the Rules by any of the trainers training in partnership, then all trainers shall be deemed jointly and severally responsible and may be charged and penalised accordingly.
 - (j) Where a penalty has been imposed by another Principle Racing Authority upon any of the trainers in a training partnership, then all trainers shall be deemed jointly and severally responsible for the purposes of adoption or recognition of that penalty in accordance with these Rules.
 - (k) Sub-rules (h) and (i) do not apply where the relevant breach or penalty imposed:
 - Does not relate in any way to the training of racehorses; or
 - Involves conduct of a kind that may be subject to a penalty under AR 175(a).
- Applications must be made on the approved Office of Racing Integrity form.
 - Applicants may be required to attend an interview with the Director of Racing.

Definition of Immediate Family

- (a) A spouse, de facto partner, child, parent, grandparent, grandchild or sibling; or
- (b) A child, parent, grandparent, grandchild or sibling of a spouse or de facto partner.

FARRIERS

Farrier/Restricted Plating	Fee: \$56.00
<p>The holder of a Farrier licence may shoe and plate horses for themselves or other owners or trainers.</p> <p>The holder of a Restricted Plating licence may shoe horses owned and/or trained only by them.</p> <p>A Farrier or Restricted Plating licence is issued at the discretion of the Director of Racing to a person who has:</p> <ul style="list-style-type: none">• Lodged a completed application form with the prescribed fee.• Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)• Submitted a Statutory Declaration with respect to Police Record.• Farrier: - Provided copy of Trade Certificate or Completed Articles of Apprenticeship or competency assessed to the satisfaction of a person approved by the Office of Racing Integrity.• Restricted Plating: - Provided a Letter of Competency from a qualified and licensed Farrier with at least five (5) years' experience.	